

CS103  
FALL 2025



# Lecture 24: **Unsolvable Problems**

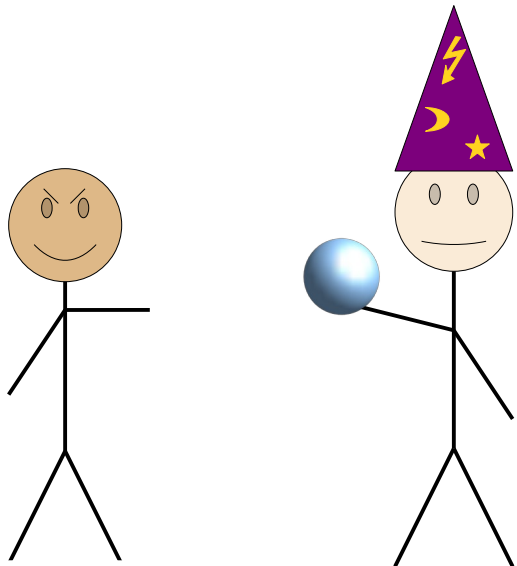
**Part 2 of 2**

# Outline for Today

- ***More on Undecidability***
  - Even more problems we can't solve.
- ***A Different Perspective on RE***
  - What exactly does “recognizability” mean?
- ***Verifiers***
  - A new approach to problem-solving.
- ***Beyond RE***
  - A beautiful example of an impossible problem.

Recap from Last Time

```
bool willAccept(string function, string input) {  
    // Returns true if function(input) returns true.  
    // Returns false otherwise.  
}  
  
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    return !willAccept(me, input);  
}
```



trickster      willAccept

Which of the following must be true?

- (1) trickster is a decider for  $A_{TM}$ .
- (2) willAccept is a decider for  $A_{TM}$ .
- (3) willAccept(me, input) simulates trickster on input and does whatever trickster does to input.
- (4) trickster loops on at least one input.

Answer at <https://cs103.stanford.edu/pollev>

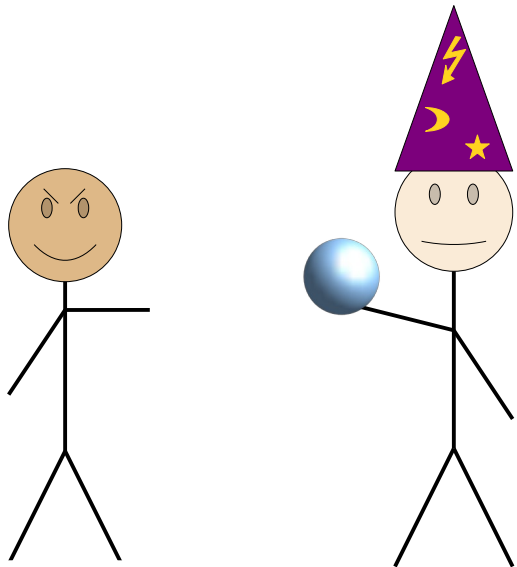
```

bool willAccept(string function, string input) {
    // Returns true if function(input) returns true.
    // Returns false otherwise.
}

bool trickster(string input) {
    string me = /* source code of trickster */;
    return !willAccept(me, input);
}

```

*A decider for  $A_{TM}$  has to have this behavior.*



trickster      willAccept

{  
 trickster(input) returns true  
 ↔  
 willAccept(me, input) returns true  
 ↔  
 trickster(input) does not return true  
 }

*Because of how we wrote trickster.*

**Theorem:**  $A_{TM} \notin \mathbf{R}$ .

**Proof:** By contradiction; assume that  $A_{TM} \in \mathbf{R}$ . Then there is a decider  $D$  for  $A_{TM}$ . We can represent  $D$  as a function

```
bool willAccept(string function, string w);
```

that takes in the source code of a function `function` and a string `w`, then returns true if `function(w)` returns true and returns false otherwise. Given this, consider this function `trickster`:

```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    return !willAccept(me, input);  
}
```

Since `willAccept` decides  $A_{TM}$  and `me` holds the source of `trickster`, we know that

`willAccept(me, input)` returns true if and only if `trickster(input)` returns true.

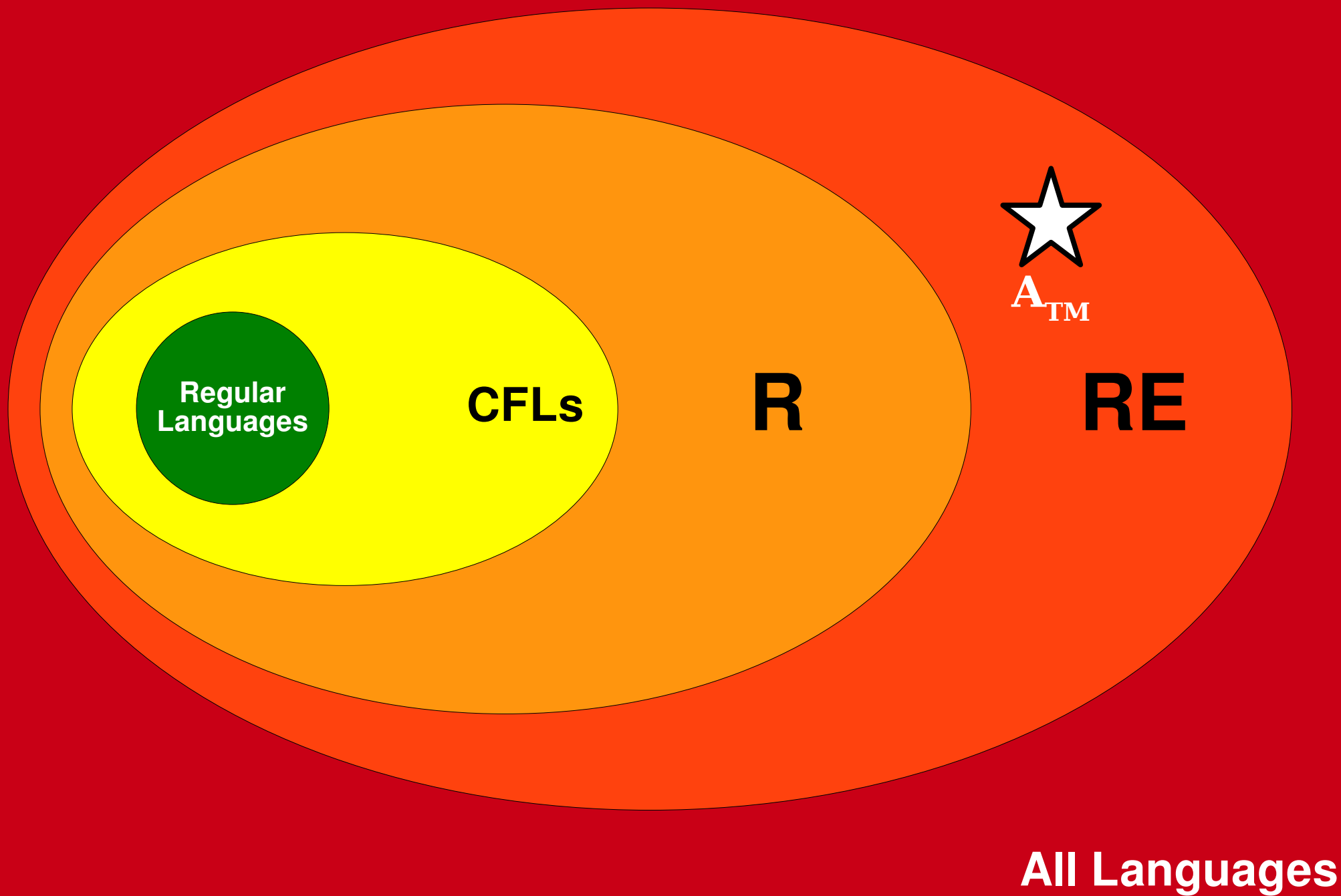
Given how `trickster` is written, we see that

`willAccept(me, input)` returns true if and only if `trickster(input)` doesn't return true.

This means that

`trickster(input)` returns true if and only if `trickster(input)` doesn't return true.

This is impossible. We've reached a contradiction, so our assumption was wrong and  $A_{TM}$  is undecidable. ■



New Stuff!



# More Impossibility Results

# The Halting Problem

- The most famous undecidable problem is the **halting problem**, which asks:

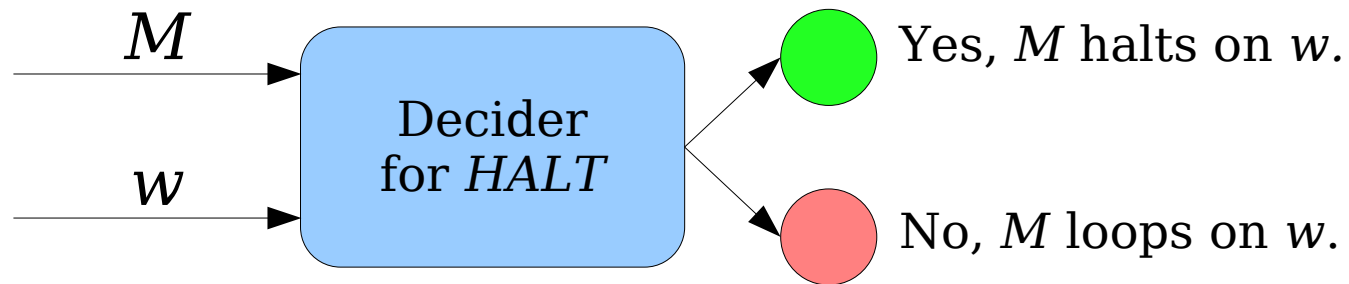
**Given a TM  $M$  and a string  $w$ ,  
will  $M$  halt when run on  $w$ ?**

- Our goal isn't to build a TM  $M$  that halts on a string  $w$ . It's to check whether an arbitrary TM  $M$  halts on an arbitrary string  $w$ .
- As a formal language, this problem would be expressed as  
 **$HALT = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on } w \}$**
- **Theorem:**  $HALT$  is recognizable, but undecidable.
  - There's a recognizer for  $HALT$ .
  - There is no decider for  $HALT$ .

***Theorem:*** The halting problem is undecidable.

# A Decider for *HALT*

- Let's suppose that, somehow, we managed to build a decider for  $HALT = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on } w \}$ .
- Schematically, that decider would look like this:



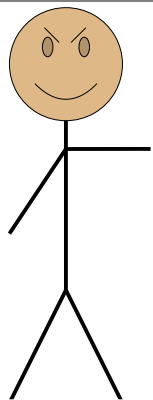
- We could represent this decider in software as a method  
`bool willHalt(string function, string input);`  
that takes as input a function and a string input, then
  - returns true if `function(input)` returns anything (halts), and
  - returns false if `function(input)` never returns anything (loops).

```

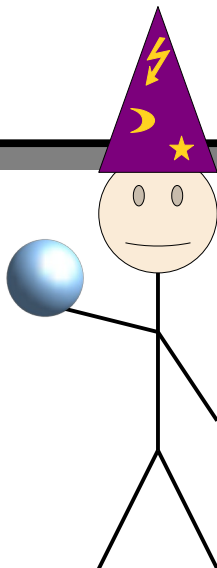
bool willHalt(string function, string input) {
    // Returns true if function(input) halts.
    // Returns false otherwise.
}

bool trickster(string input) {
    string me = /* source code of trickster */;
    if (willHalt(me, input)) {
        while (true) {
            // Do nothing
        }
    } else {
        return true;
    }
}

```



trickster



willHalt

*A decider for HALT must do this.*

trickster(input) halts

↔

willHalt(me, input) returns true

↔

trickster(input) loops

*We wrote trickster to have this behavior.*

**Theorem:**  $HALT \notin \mathbf{R}$ .

**Proof:** By contradiction; assume that  $HALT \in \mathbf{R}$ . Then there is a decider  $D$  for  $HALT$ . We can represent  $D$  as a function

```
bool willHalt(string function, string w);
```

that takes in the source code of a function `function` and a string `w`, then returns true if `function(w)` halts and returns false otherwise. Given this, consider this function `trickster`:

```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    if (willHalt(me, input)) {  
        while (true) { }  
    } else {  
        return true;  
    }  
}
```

Since `willHalt` decides  $HALT$  and `me` holds the source of `trickster`, we know that

`willHalt(me, input)` returns true if and only if `trickster(input)` halts.

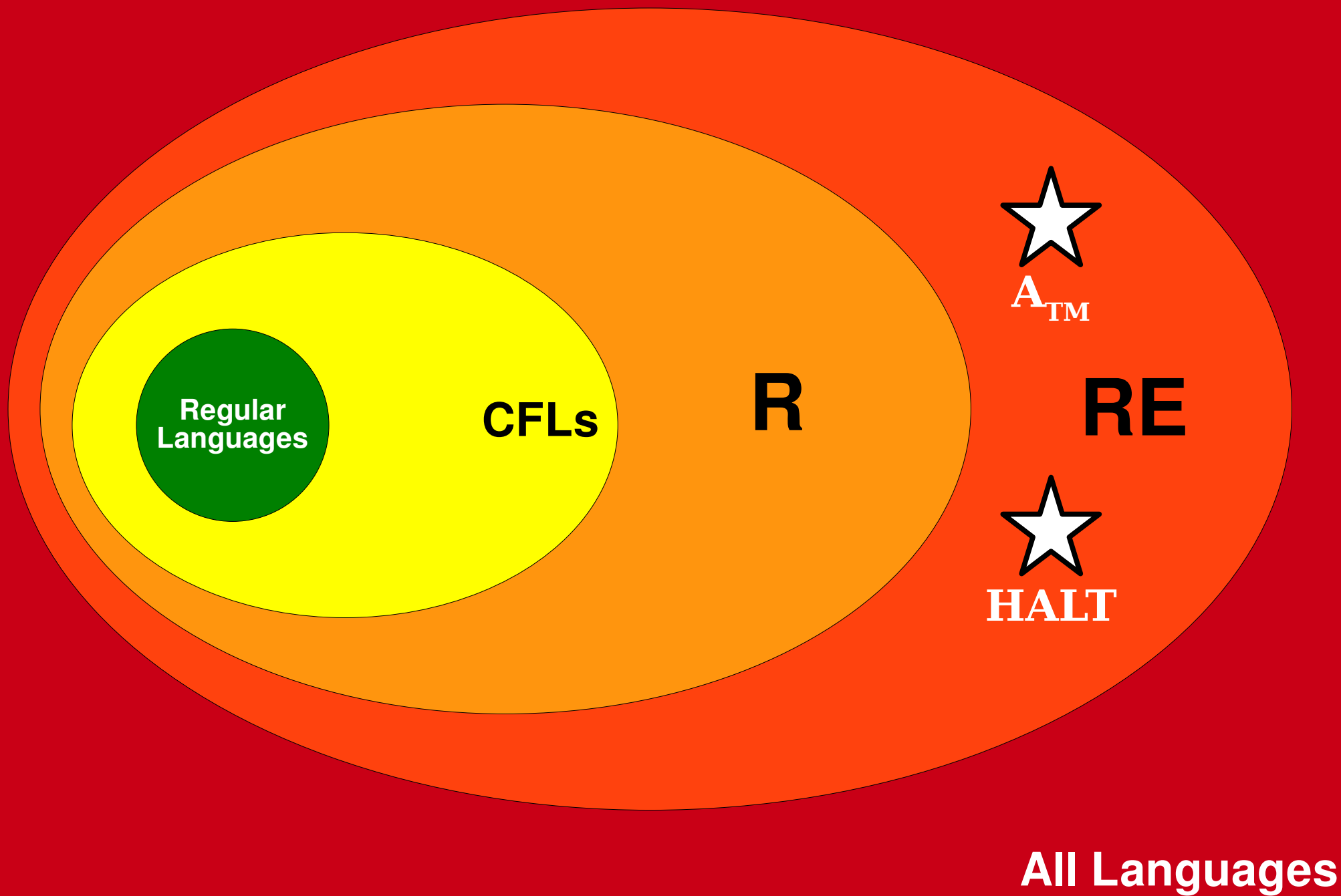
Given how `trickster` is written, we see that

`willHalt(me, input)` returns true if and only if `trickster(input)` loops.

This means that

`trickster(input)` halts if and only if `trickster(input)` loops.

This is impossible. We've reached a contradiction, so our assumption was wrong and  $HALT$  is undecidable. ■



# So What?

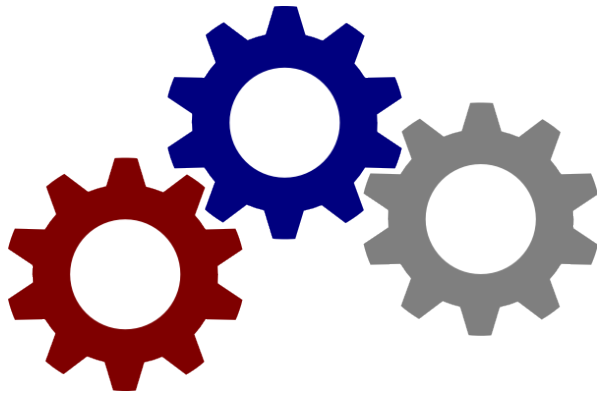
- These problems might not seem all that exciting, so who cares if we can't solve them?
- Turns out, this same line of reasoning can be used to show that some very important problems are impossible to solve.



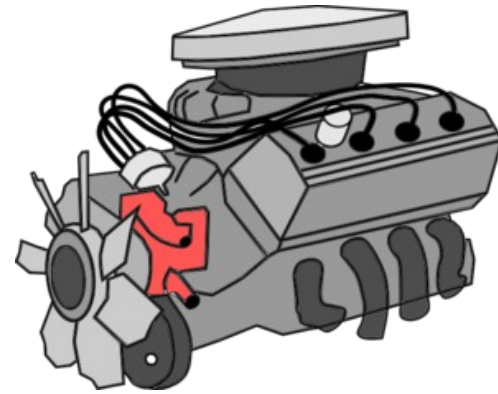


***Analogy Time!***

**Engineering Problem:** Design a diesel engine that doesn't emit lots of NO<sub>x</sub> pollutants.

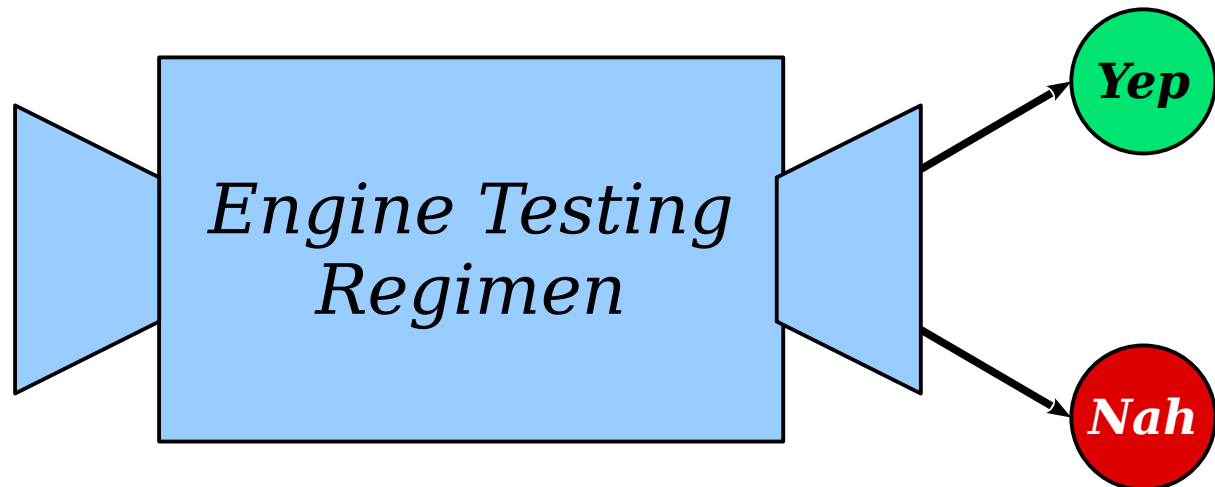
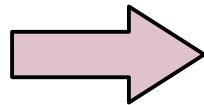
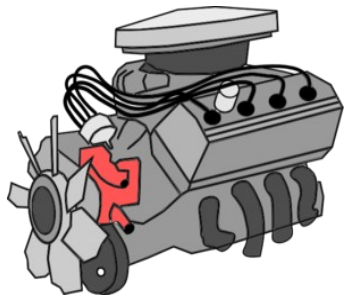


**Engineering Prowess!**



**Awesome Engine!**

**Regulatory Problem:** Design a testing procedure that, given a diesel engine, determines whether it emits lots of NO<sub>x</sub> pollutants.



***Fact:*** Almost all “regulatory problems” about computer programs are undecidable.

That is, almost all problems of the form “does program  $X$  have behavior  $Y$ ?” are undecidable.

This can be formalized as ***Rice’s Theorem***; take CS154 for details!

# A (Topical) Example

# Secure Voting

- Suppose that you want to make a voting machine for use in an election between two parties (the **Zomp Party** and the **Puce Party**).
- Let  $\Sigma = \{z, p\}$ . A string  $w \in \Sigma^*$  corresponds to a series of votes for the candidates.
- Example: **zzpppzp** means “two people voted for **z**, then three people voted for **p**, then one more person voted for **z**, then one more person voted for **p**.”
- A **secure voting machine** is a TM that takes as input a string of **z**'s and **p**'s, then reports whether person **z** won the election.
  - “Secure” in the sense of “actually checks the vote totals” as opposed to rigging the election, discounting votes, etc.

A secure voting machine is a TM  $M$  where  $M$  accepts  $w \in \{\mathbf{z}, \mathbf{p}\}^*$  if and only if  $w$  has more  $\mathbf{z}$ 's than  $\mathbf{p}$ 's.

```
bool bee(string input) {  
    int numZs = countZsIn(input);  
    int numPs = countPsIn(input);  
  
    return numZs > numPs;  
}
```

```
bool topaz(string input) {  
    return input != "" &&  
           input[0] == 'z';  
}
```

Which of these are secure voting machines? Answer at <https://cs103.stanford.edu/pollev>

```
bool anna(string input) {  
    int numZs = countZsIn(input);  
    int numPs = countPsIn(input);  
  
    if (numZs == numPs) {  
        return false;  
    } else if (numZs < numPs) {  
        return false;  
    } else {  
        return true;  
    }  
}
```

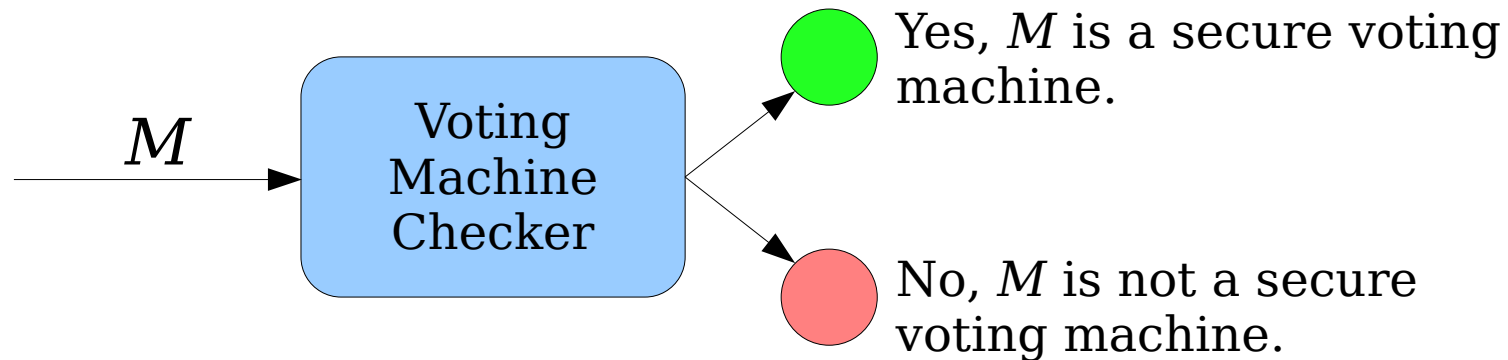
```
bool green(string input) {  
    int n = input.length();  
    while (n > 1) {  
        if (n % 2 == 0) n /= 2;  
        else n = 3*n + 1;  
    }  
  
    int numZs = countZsIn(input);  
    int numPs = countPsIn(input);  
  
    return numZs > numPs;  
}
```

# Secure Voting

- Even human review isn't perfect for vetting voting software.
- **Question:** Could we design an algorithm to check voting software for us?
  - **Input:** A Turing machine  $M$ .
  - **Output:** YES if  $M$  is a secure voting machine, NO if  $M$  isn't.
- This is a “regulatory” problem, not an “engineering” problem.

# A Decider for Secure Voting

- Schematically, a “voting machine checker” would look like this:



- We’d represent this decider in software as a function  
`bool isSecureVotingMachine(string function);`  
that takes as input a function, then returns whether that function is a secure voting machine.



```

bool isSecureVotingMachine(string function) {
    // Returns whether function accepts only
    // strings with more z's than p's.
}

bool trickster(string input) {
    string me = /* source code of trickster */;
    if (isSecureVotingMachine(me)) {
        return countZsIn(input) <= countPsIn(input);
    } else {
        return countZsIn(input) > countPsIn(input);
    }
}

```

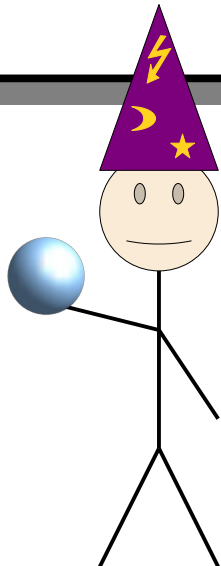
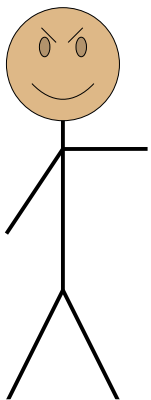
trickster is a secure voting machine

↔

isSecureVotingMachine(me) returns true

↔

trickster isn't a secure voting machine.



trickster    isSecureVotingMachine

**Theorem:** The secure voting problem is undecidable.

**Proof:** By contradiction; there is a decider  $D$  for the secure voting problem. We can represent  $D$  as a function

```
bool isSecureVotingMachine(string function);
```

that takes in the source code of a function `function`, then returns whether `function` is a secure voting machine (that is, whether it accepts precisely the strings with more **z**'s than **p**'s). Given this, consider this function `trickster`:

```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    if (isSecureVotingMachine(me)) {  
        return /* if input has at most as many z's as p's */;  
    } else {  
        return /* if input has more z's than p's */;  
    }  
}
```

Since `isSecureVotingMachine` decides the secure voting problem and `me` holds the source of `trickster`, we know that

`isSecureVotingMachine(me)` returns true if and only if `trickster` is a secure voting machine.

Given how `trickster` is written, we see that

`isSecureVotingMachine(me)` returns true if and only if `trickster` isn't a secure voting machine

This means that

`trickster` is a secure voting machine if and only if `trickster` isn't a secure voting machine.

This is impossible. We've reached a contradiction, so our assumption was and the secure voting problem is undecidable. ■

# Interpreting this Result

- The previous argument tells us that *there is no automated procedure* that can check if arbitrary voting software is correct.
- So what can we do?
  - Design algorithms that work in *some*, but not *all* cases. (This is often done in practice.)
  - Fall back on human verification of voting machines. (We do that too.)
  - Carry a healthy degree of skepticism about electronic voting machines. (Then again, did we even need the theoretical result for this?)
- Worth a read: <https://xkcd.com/2030/>

Time-Out for Announcements!

# Problem Set 9

- Problem Set 8 is due *Sunday* at 1:00PM Pacific time.
  - You can use a late day to extend the deadline to Monday at 1:00PM Pacific.
  - If you're traveling, be cautious about time zone changes!
  - Late days can't be used on Problem Set 9.
- Problem Set 9 goes out today. It's due the Friday when we get back (December 5<sup>th</sup>).
  - This is a normally-sized problem set.
  - We are not expecting you to start it over the break.
  - Late days can't be used here; this is university policy.

# Thanksgiving Break Logistics

- Over the break ...
  - ... we won't be holding regular office hours.
  - ... we won't be monitoring EdStem as much as we normally do.
- ***International Students:*** If you've never attended an American Thanksgiving dinner, find a way to do so. It's a lovely tradition.
- ***Domestic, Local Students:*** If you're based locally and have the capacity to do so, invite a fellow student over for Thanksgiving.

# Cumulative Practice Problems

- We've just released a *massive* bank of practice problems on the course website you can use to review topics from throughout the quarter.
- Feel free to ask us questions in office hours or on EdStem if you have them. That's what we're here for!
- Some post-midterm thoughts:
  - It's great to study this material and get practice. Just make sure to do it in a way that's maximally conducive to learning.
  - You're not competing against anyone else in this course. As you review for the final, form study groups. Share ideas and insights with one another.
  - We assign grades to certify skills, not based on relative performance. A's are not a scarce resource; we'd love to give as many as we can.
- Best of luck on the home stretch!

Back to CS103!



Beyond **R** and **RE**

What exactly is the class **RE**?

# RE, Formally

- Recall that the class **RE** is the class of all recognizable languages:

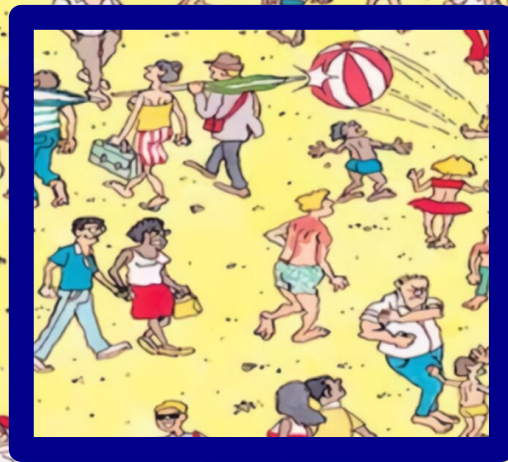
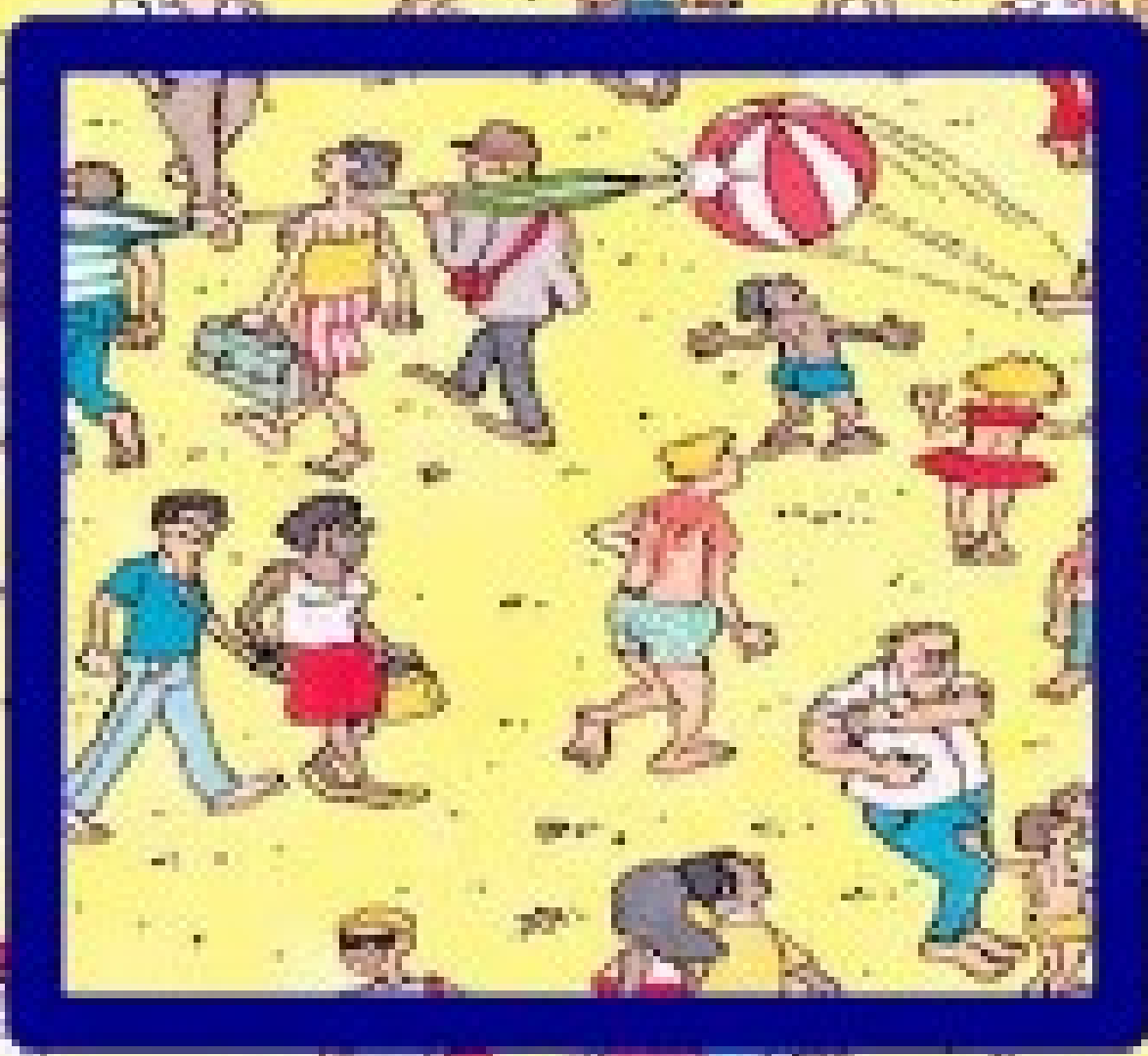
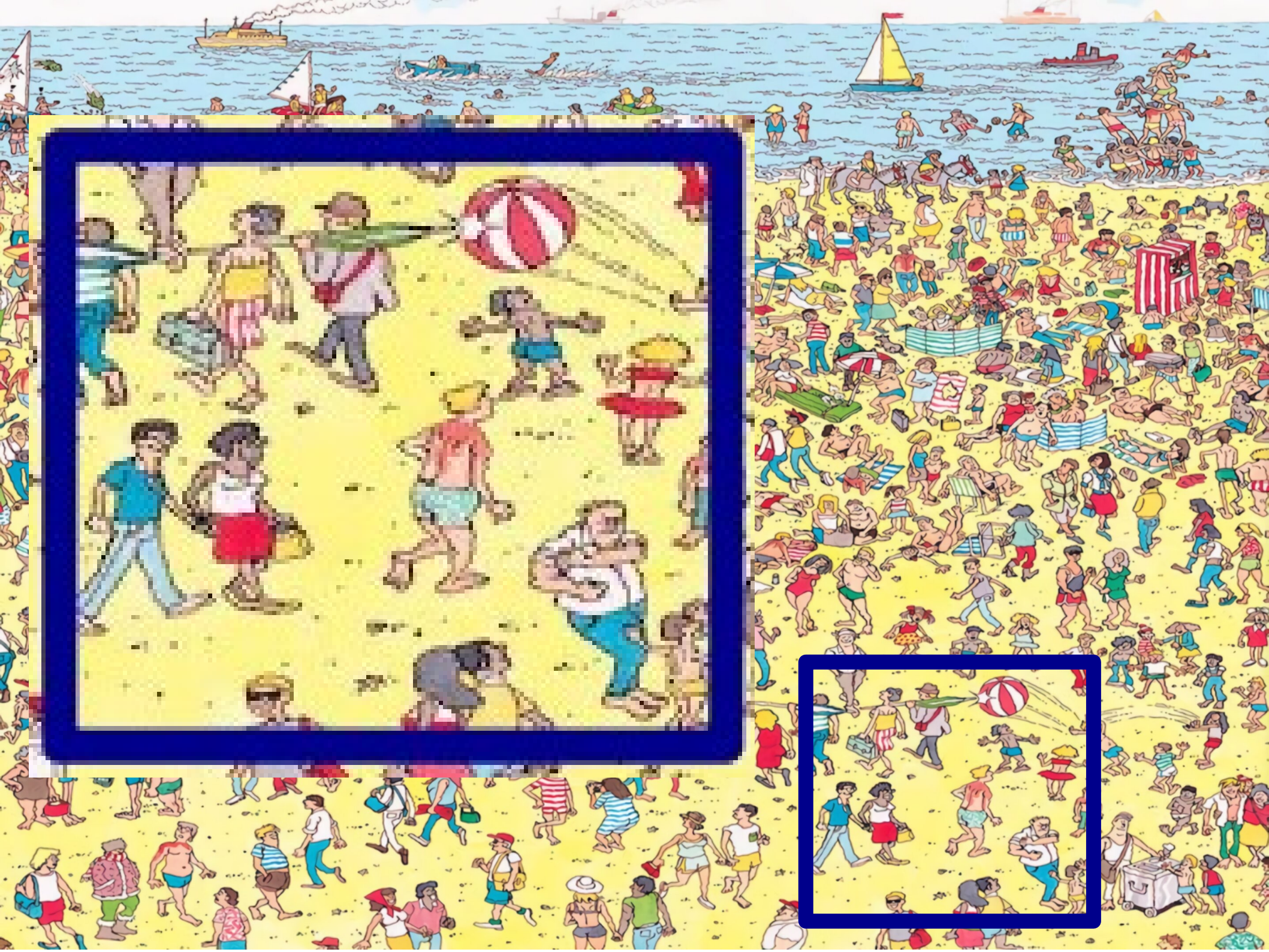
$$\mathbf{RE} = \{ L \mid \text{there is a TM } M \text{ that recognizes } L \}$$

- Since  $\mathbf{R} \neq \mathbf{RE}$ , there is no general way to “solve” problems in the class **RE**, if by “solve” you mean “make a computer program that can always tell you the correct answer.”
- So what exactly *are* the sorts of languages in **RE**?

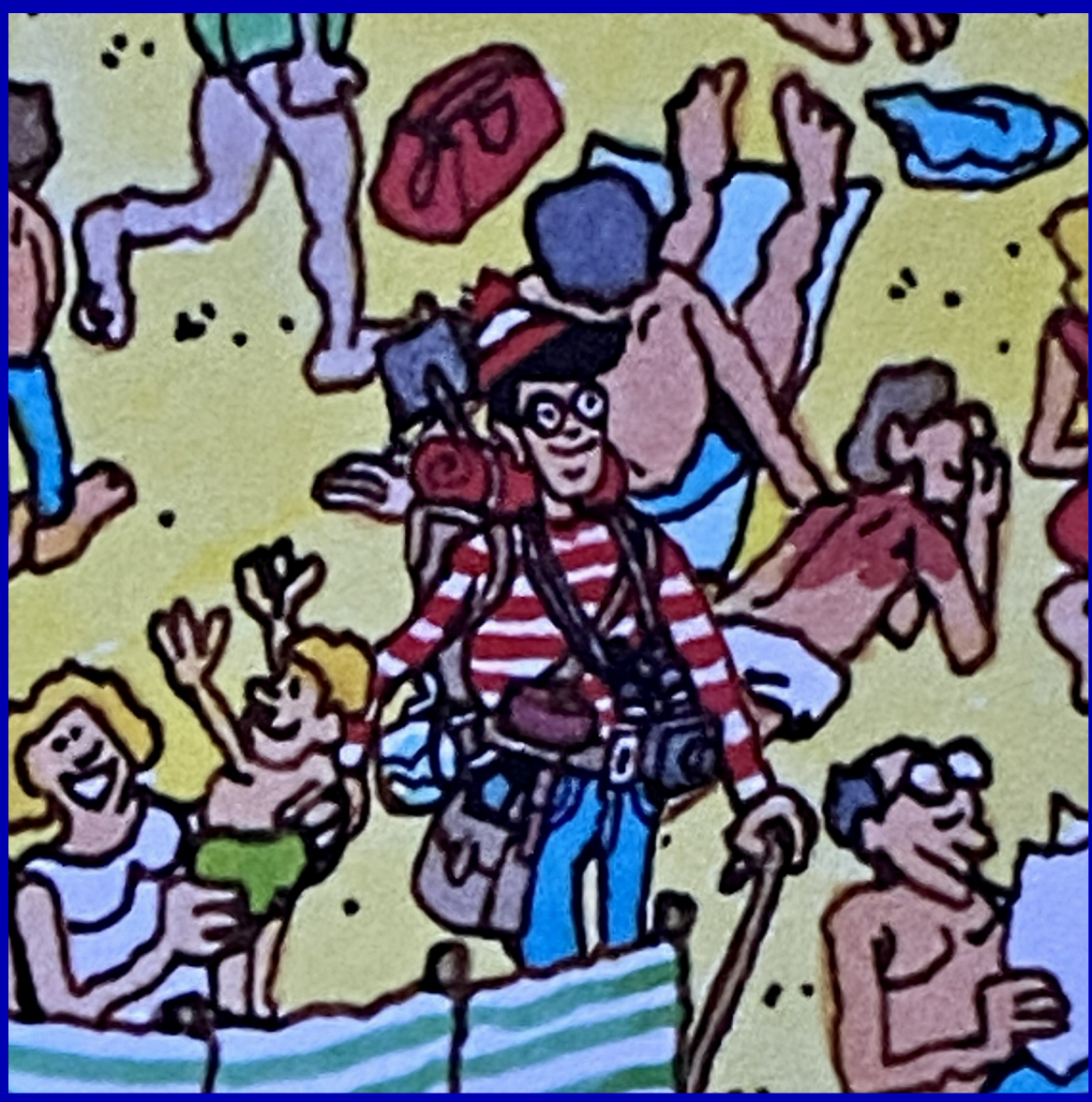
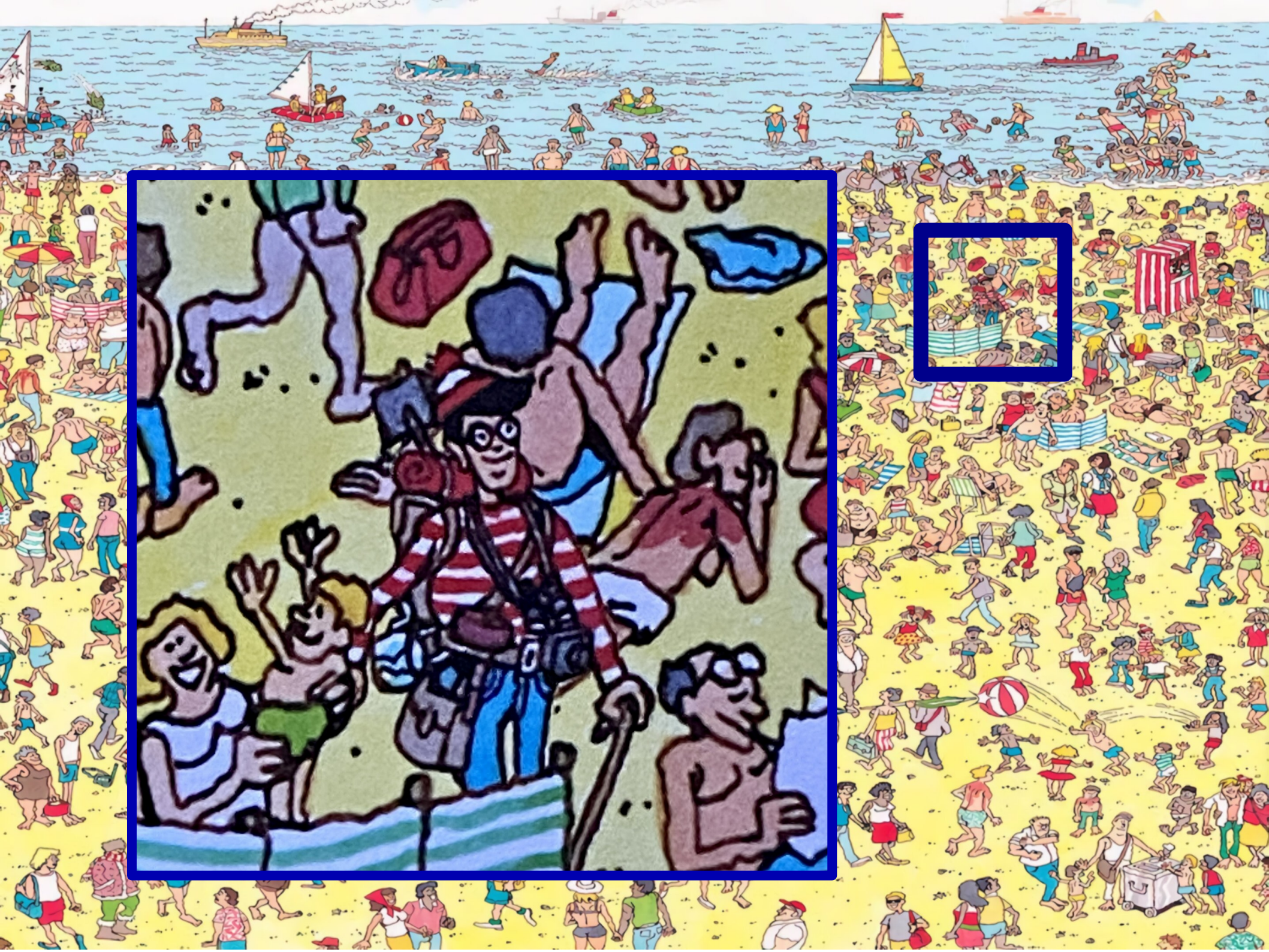
## ***Key Intuition:***

A language  $L$  is in **RE** when, for any string  $w$ , if you're *convinced* that  $w \in L$ , there's a way you could prove that to someone else.

***Example:*** Where's Waldo?









# Verification

**11**

Try running five steps of the Hailstone sequence.

Does the hailstone sequence  
terminate for this number?



# Verification

**11**

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence  
terminate for this number?

# Verification

$$x^3 + y^3 + z^3 = 137$$

Pick the following:

$$x = 3 \quad y = -5 \quad z = 6$$

Are there integers  $x$ ,  $y$ , and  $z$  where  
the above statement is true?

# Verification

$$x^3 + y^3 + z^3 = 137$$

Pick the following:

$$x = -9 \quad y = -11 \quad z = 13$$

Are there integers  $x$ ,  $y$ , and  $z$  where  
the above statement is true?

# Verification

- Here's code for simulating the hailstone sequence. No one knows whether it always terminates.

```
bool hailstone(int n) {  
    if (n <= 0) return false;  
    while (n != 1) {  
        if (n % 2 == 0) n /= 2;  
        else n = 3*n + 1;  
    }  
    return true;  
}
```

- The following doesn't solve hailstone, but instead checks whether a given number of steps is correct. It always terminates.

```
bool checkHailstone(int n, int numSteps) {  
    if (n <= 0) return false;  
    for (int i = 0; i < numSteps; i++) {  
        if (n % 2 == 0) n /= 2;  
        else n = 3*n + 1;  
    }  
    return n == 1;  
}
```



Note the extra parameter.

# Verification

- Here's code that searches for three cubes that sum to a target. It loops if the  $n$  isn't the sum of three cubes.

```
bool isCubeSum(int n) {  
    for (int max = 0; ; max++)  
        for (int x = -max; x <= max; x++)  
            for (int y = -max; y <= max; y++)  
                for (int z = -max; z <= max; z++)  
                    if (x*x*x + y*y*y + z*z*z == n) return true;  
}
```

- The following doesn't solve the sum of cubes problems, but instead checks whether three numbers sum to the target. It always terminates.

```
bool checkCubeSum(int n, int x, int y, int z) {  
    return x*x*x + y*y*y + z*z*z == n;  
}
```

Note the extra parameters.

# Verifiers

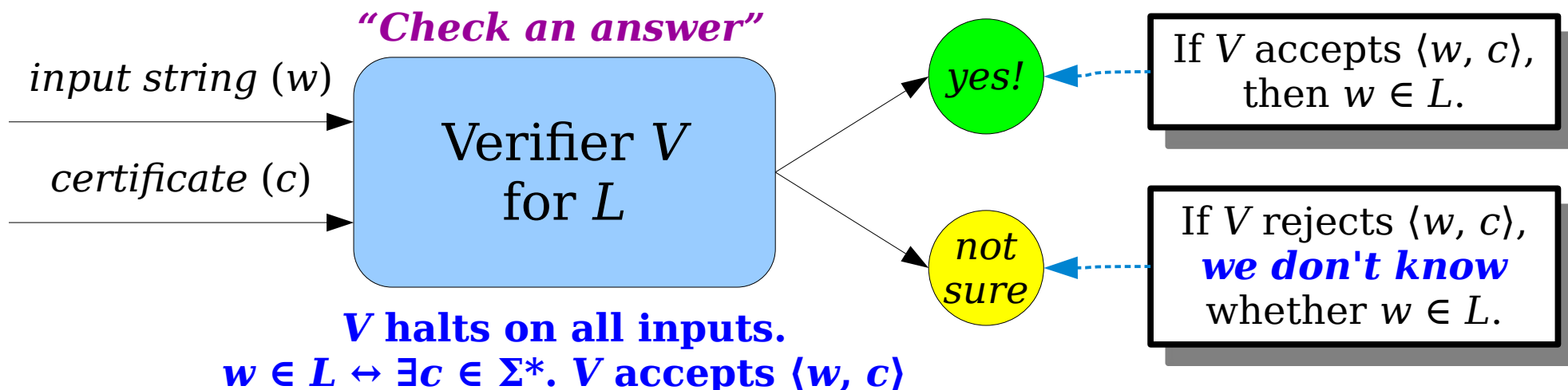
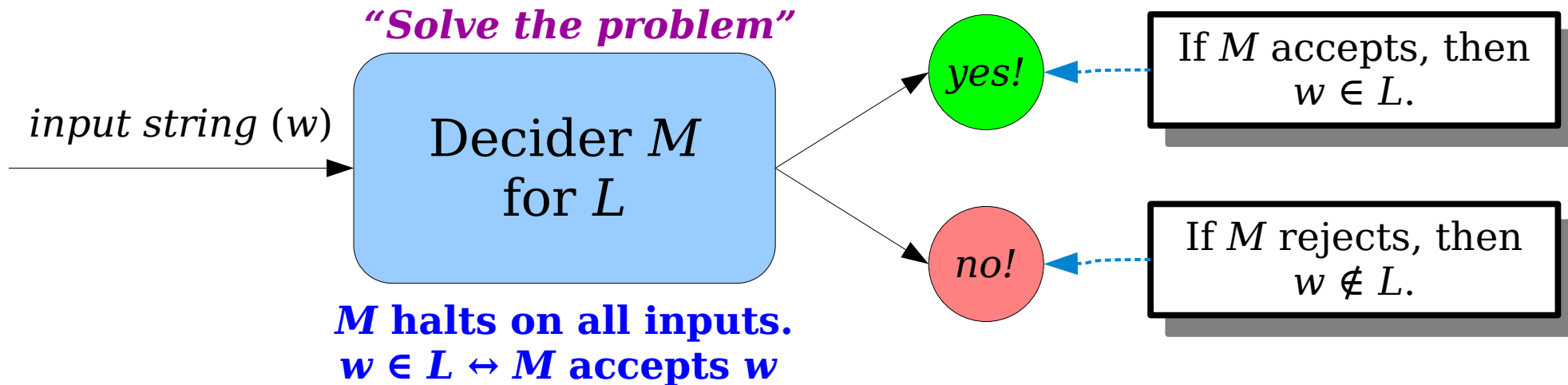
- A **verifier** for a language  $L$  is a TM  $V$  with the following two properties:

**$V$  halts on all inputs.**

**$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$**

- Intuitively, what does this mean?

# Deciders and Verifiers



# Verifiers

- A **verifier** for a language  $L$  is a TM  $V$  with the following properties:

**$V$  halts on all inputs.**

**$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$**

- Some notes about  $V$ :
  - If  $V$  accepts  $\langle w, c \rangle$ , we're guaranteed  $w \in L$ .
  - If  $V$  rejects  $\langle w, c \rangle$ , then either
    - $w \in L$ , but you gave the wrong  $c$ , or
    - $w \notin L$ , so no possible  $c$  will work.



# Verifiers

- A **verifier** for a language  $L$  is a TM  $V$  with the following properties:

**$V$  halts on all inputs.**

**$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$**

- Some notes about  $V$ :
  - The certificate  $c$  is existentially-quantified. Any string  $w \in L$  must have at least one  $c$  that causes  $V$  to accept, and possibly more.
  - $V$  is required to halt, so given any potential certificate  $c$  for  $w$ , you can check whether the certificate is correct.

# Verifiers

- A **verifier** for a language  $L$  is a TM  $V$  with the following properties:

**$V$  halts on all inputs.**

**$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$**

- Some notes about  $V$ :
  - Although  $V$  always halts,  $V$  isn't a decider for  $L$  and isn't a recognizer for  $L$ . (*Do you see why?*)
  - $V$  just checks certificates. It doesn't decide membership in  $L$ .

# Verifiers

- A **verifier** for a language  $L$  is a TM  $V$  with the following properties:

**$V$  halts on all inputs.**

**$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$**

- Some notes about  $V$ :
  - Remember that  $c$  can be an encoding of some other object or objects.
  - In practice,  $c$  will likely just be “some other auxiliary data that helps you out.”

What languages are verifiable?

***Theorem:*** If  $L$  is a language, then there is a verifier for  $L$  if and only if  $L \in \mathbf{RE}$ .

***Proof:*** Appendix!

# RE and Proofs

- Verifiers and recognizers give two different perspectives on the “proof” intuition for **RE**.
- A verifier  $V$  for  $L$  checks proofs that  $w \in L$ .
  - If  $w \in L$ , there’s a proof  $c$  where  $V$  accepts  $\langle w, c \rangle$
  - If  $w \notin L$ , then  $V$  never accepts any certificate for  $w$ .
- A recognizer  $R$  for  $L$  searches for proof that  $w \in L$ .
  - If  $w \in L$ , then  $R$  finds a proof and accepts.
  - If  $w \notin L$ , then  $R$  never finds a proof and loops.
    - Or perhaps it finds a proof that  $w \notin L$  and rejects.

Finding Non-**RE** Languages

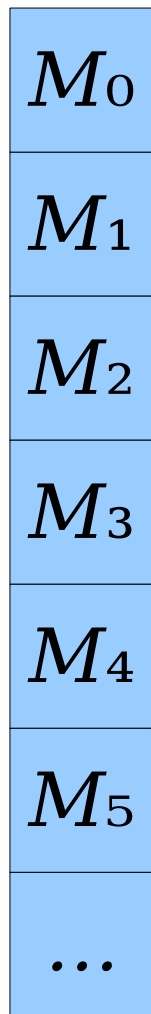
# Recognizers and Recognizability

- **Recall:** We say that  $M$  is a recognizer for  $L$  if the following is true:

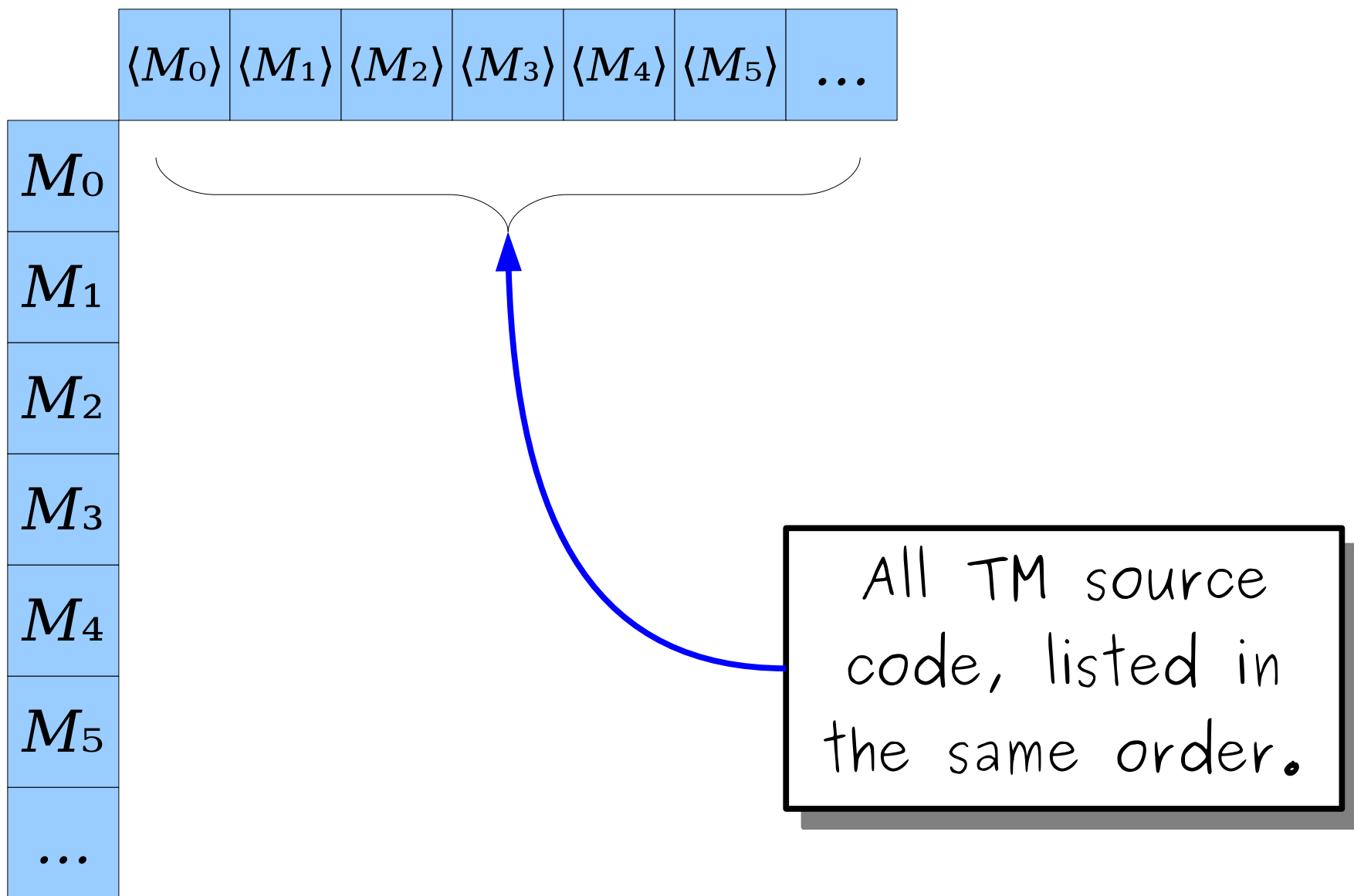
$$\forall w \in \Sigma^*. (w \in L \leftrightarrow M \text{ accepts } w).$$

- Some of these strings  $w$ , by pure coincidence, will be encodings of Turing machines.
- What happens if we list off all Turing machines, looking at how those TMs behave given other TMs as input?





All Turing machines,  
listed in some order.



	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

Acc	Acc	Acc	No	Acc	No	...
-----	-----	-----	----	-----	----	-----

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

Flip all "accept"  
to "no" and  
vice-versa

No	No	No	Acc	No	Acc	...
----	----	----	-----	----	-----	-----

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
----	----	----	-----	----	-----	-----

No TM has  
this behavior!

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

**$\{ \langle M \rangle \mid M \text{ is a TM that does not accept } \langle M \rangle \}$**

No	No	No	Acc	No	Acc	...
----	----	----	-----	----	-----	-----

# Diagonalization Revisited

- The ***diagonalization language***, which we denote  $L_D$ , is defined as

$$L_D = \{ \langle M \rangle \mid M \text{ is a TM and } M \text{ does not accept } \langle M \rangle \}$$

- We constructed this language to be different from the language of every TM.
- Therefore,  $L_D \notin \mathbf{RE}$ ! Let's go prove this.

$$L_D = \{ \langle M \rangle \mid M \text{ is a TM and } M \text{ does not accept } \langle M \rangle \}$$

**Theorem:**  $L_D \notin \mathbf{RE}$ .

**Proof:** Assume for the sake of contradiction that  $L_D \in \mathbf{RE}$ . This means that there is a recognizer  $R$  for  $L_D$ .

What happens if we run  $R$  on  $\langle R \rangle$ ? Since  $R$  recognizes  $L_D$ , we know that

$$R \text{ accepts } \langle R \rangle \quad \text{if and only if} \quad \langle R \rangle \in L_D.$$

By definition of  $L_D$ , we also know that

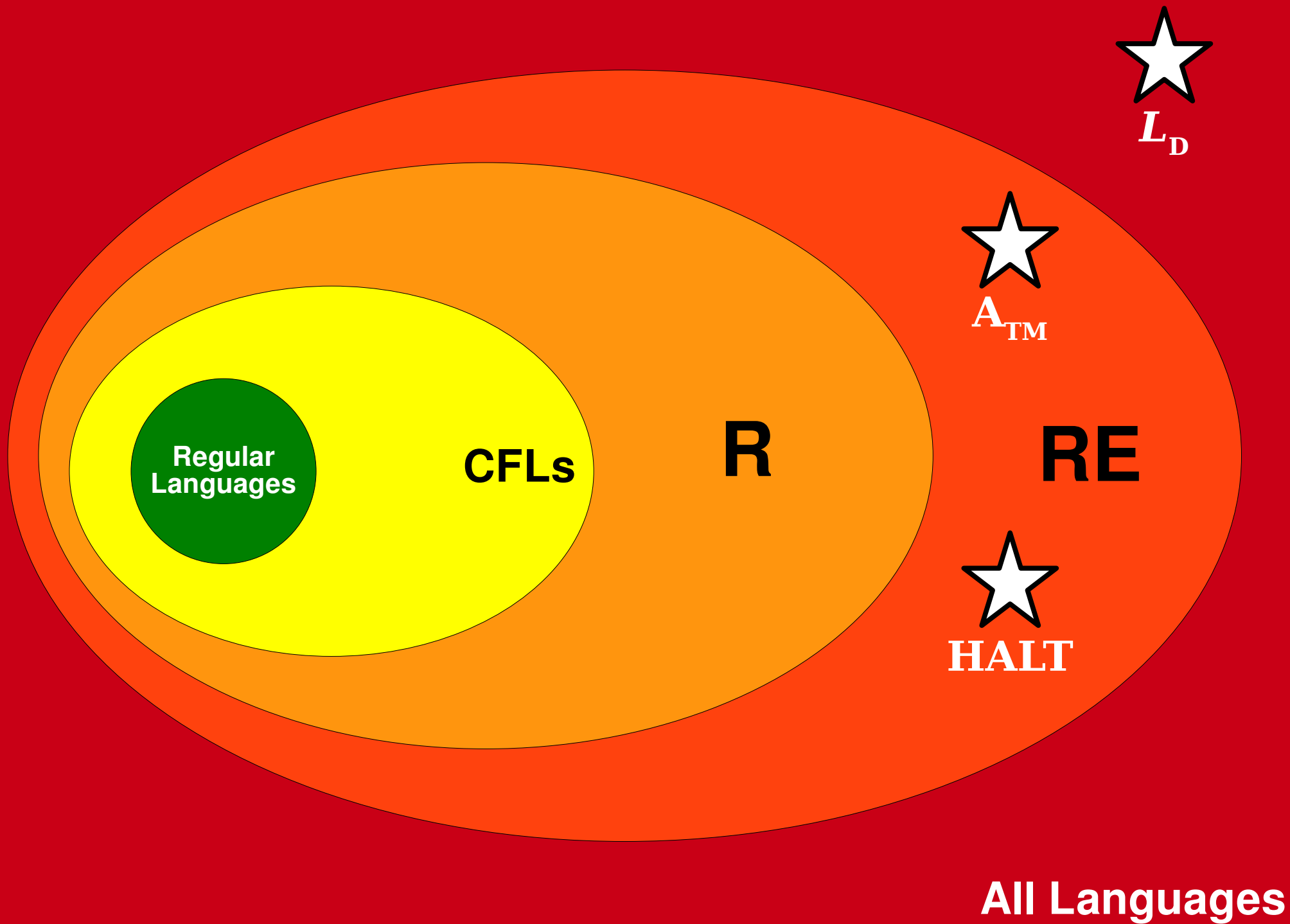
$$\langle R \rangle \in L_D \quad \text{if and only if} \quad R \text{ does not accept } \langle R \rangle.$$

Combining the two above statements tells us that

$$R \text{ accepts } \langle R \rangle \quad \text{if and only if} \quad R \text{ does not accept } \langle R \rangle.$$

This is impossible. We've reached a contradiction, so our assumption was wrong, and so  $L_D \notin \mathbf{RE}$ . ■





# What This Means

- On a deeper philosophical level, the fact that non-**RE** languages exist supports the following claim:

***There are statements that are true but not provable.***

- This result can be formalized as a result called ***Gödel's incompleteness theorem***, one of the most important mathematical results of all time.
- Want to learn more? Take Phil 152 or CS154!

# What This Means

- On a more philosophical note, you could interpret the previous result in the following way:

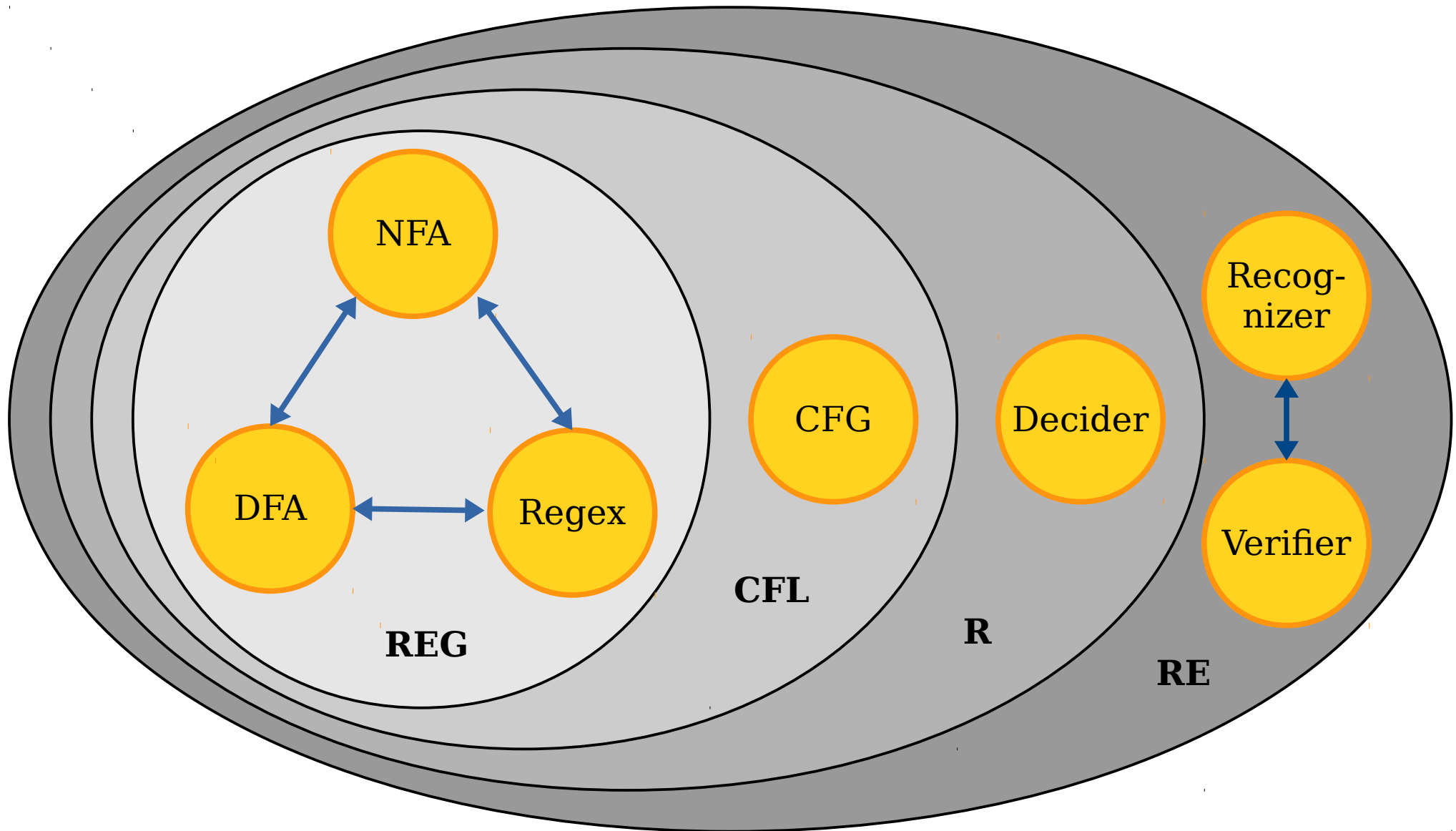
***There are inherent limits about what mathematics can teach us.***

- There's no automatic way to do math. There are true statements that we can't prove.
- That doesn't mean that mathematics is worthless. It just means that we need to temper our expectations about it.

# Where We Stand

- We've just done a whirlwind tour of computability theory:
  - ***The Church-Turing thesis*** tells us that TMs give us a mechanism for studying computation in the abstract.
  - ***Universal computers*** – computers as we know them – are not just a stroke of luck. The existence of the universal TM ensures that such computers must exist.
  - ***Self-reference*** is an inherent consequence of computational power.
  - ***Undecidable problems*** exist partially as a consequence of the above and indicate that there are statements whose truth can't be determined by computational processes.
  - ***Unrecognizable problems*** are out there and can be discovered via diagonalization. They imply there are limits to mathematical proof.

# The Big Picture



# Where We've Been

- The class **R** represents problems that can be solved by a computer.
- The class **RE** represents problems where “yes” answers can be verified by a computer.

# Where We're Going

- The class **P** represents problems that can be solved *efficiently* by a computer.
- The class **NP** represents problems where “yes” answers can be verified *efficiently* by a computer.

# Next Time

- ***Introduction to Complexity Theory***
  - Not all decidable problems are created equal!
- ***The Classes  $P$  and  $NP$*** 
  - Two fundamental and important complexity classes.
- ***The  $P \stackrel{?}{=} NP$  Question***
  - A literal million-dollar question!

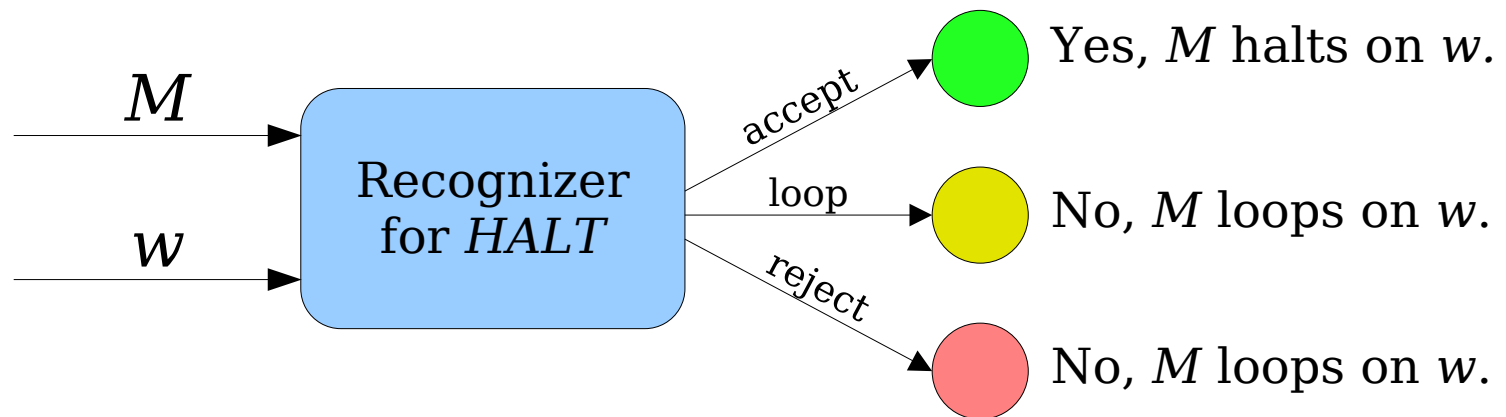


*Enjoy the Break!*

## ***Appendix 1: $HALT \in \mathbf{RE}$***

# $HALT \in \mathbf{RE}$

- The halting problem is recognizable, meaning there's a recognizer for it.
- That recognizer would have the following abstract behavior:



# $HALT \in RE$

- **Idea:** If you were certain that a TM  $M$  halted on a string  $w$ , could you convince me of that?
- Yes – just run  $M$  on  $w$  and see what happens!
- Here's that idea expressed as a recognizer:

```
bool recognizeIfHalts(string TM, string w) {  
    set up a simulation of M running on w;  
    while (true) {  
        if (M returned true) return true;  
        else if (M returned false) return true;  
        else simulate one more step of M running on w;  
    }  
}
```

# $HALT \in RE$

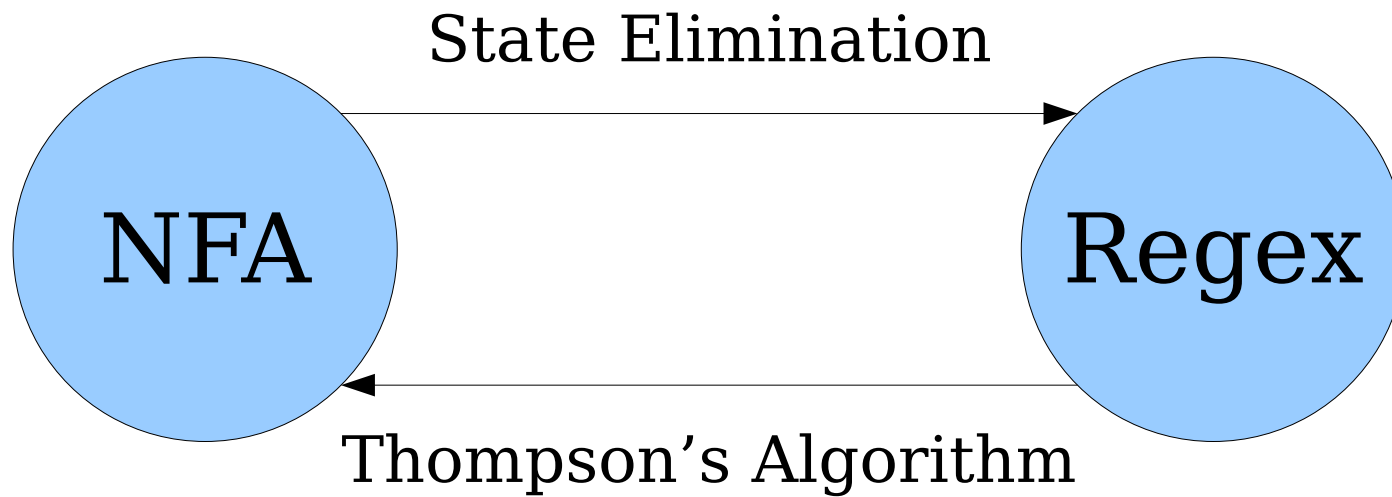
- How might we build a verifier for  $HALT$ ?
- **Idea:** If a TM  $M$  halts on a string  $w$ , it must do so within some number of steps.
- Our verifier can then run  $M$  on  $w$  for that many steps and see if it halts:

```
bool checkAccepts(TM M, string w, int n) {  
    set up a simulation of M running on w;  
    for (int i = 0; i < n; i++) {  
        simulate one more step of M running on w;  
    }  
    return whether M halted;  
}
```

## ***Appendix 2:*** Verifiers and **RE** Languages

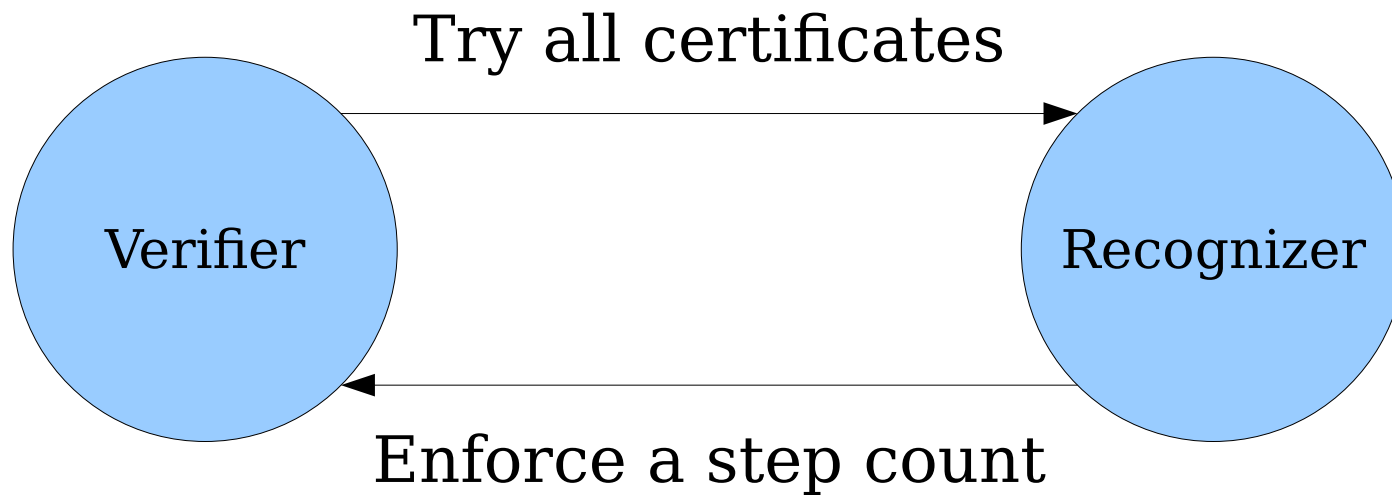
***Theorem:*** Let  $L$  be a language. Then  
 $L \in \mathbf{RE}$  if and only if there is a  
verifier  $V$  for  $L$ .

# Where We've Been





# Where We're Going



# Verifiers and **RE**

- **Theorem:** If  $V$  is a verifier for  $L$ , then  $L \in \mathbf{RE}$ .
- **Proof sketch:** Consider the following program:

```
bool isInL(string w) {  
    for (each string c) {  
        if (V accepts  $\langle w, c \rangle$ ) return true;  
    }  
}
```

If  $w \in L$ , there is some  $c \in \Sigma^*$  where  $V$  accepts  $\langle w, c \rangle$ . The function `isInL` tries all possible strings as certificates, so it will eventually find  $c$  (or some other working certificate), see  $V$  accept  $\langle w, c \rangle$ , then return true. Conversely, if `isInL(w)` returns true, then there was some string  $c$  such that  $V$  accepted  $\langle w, c \rangle$ , so we see that  $w \in L$ . ■

# Verifiers and RE

- **Theorem:** If  $L \in \mathbf{RE}$ , then there is a verifier for  $L$ .
- **Proof sketch:** Let  $L$  be a **RE** language and let  $M$  be a recognizer for it. Consider this function:

```
bool checkIsInL(string w, int c) {  
    TM M = /* hardcoded version of a recognizer for L */;  
    set up a simulation of M running on w;  
    for (int i = 0; i < c; i++) {  
        simulate the next step of M running on w;  
    }  
    return whether M is in an accepting state;  
}
```

Note that `checkIsInL` always halts, since each step takes only finite time to complete. Next, notice that if there is a  $c$  where `checkIsInL(w, c)` returns true, then  $M$  accepted  $w$  after running for  $c$  steps, so  $w \in L$ . Conversely, if  $w \in L$ , then  $M$  accepts  $w$  after some number of steps (call that number  $c$ ). Then `checkIsInL(w, c)` will run  $M$  on  $w$  for  $c$  steps, watch  $M$  accept  $w$ , then return true. ■